STATE OF WASHINGTON
DEPARTMENT OF SOCIAL AND HEALTH SERVICES
AGING AND LONG-TERM SUPPORT ADMINISTRATION
20311 52nd Ave W, Suite 100, Lynnwood, WA 98036

Aegis Senior Communities LLC
Aegis Living Ballard
949 NW Market St
Seattle, WA 98107

RE: Aegis Living Ballard License # 2689

Dear Administrator:

This letter addresses Compliance Determination(s) 60007 (Completion Date 05/27/2025) and 56302 (Completion Date 03/24/2025).

The Department completed a follow-up inspection of your Assisted Living Facility on 05/27/2025 and found no deficiencies. Your facility meets the Assisted Living Facility licensing requirements.

The Department found that deficiencies for the following licensing laws and regulations were corrected:
WAC 388-78A-2630-1-b

The Department staff who did the on-site verification:
Hayley Pinkham, ALF Licensor

If you have any questions, please contact me at (253)312-1446.

Sincerely,

Jamie Singer

Jamie Singer, Field Manager
Region 2, Unit J
Residential Care Services

This document was prepared by Residential Care Services for the Locator website.

Residential Care Services
Investigation Summary Report

Provider/Facility: Aegis Living Ballard
Provider Type: Assisted Living Facility
License/Cert.#: 2689
Compliance Determination #: 56302
Intake ID: 169780
Investigator: Hayley Pinkham
Region/Unit #: RCS Region 2 / Unit J
Investigation Date(s): 03/13/2025 through 03/24/2025
Complainant Contact Date(s):

Allegation(s):

1) The Named Resident 1 (NR1) followed the Named Resident 2 (NR2) to her apartment and attempted to kiss the NR2. When the NR2 resisted the NR1 struck and choked the NR2.

Investigation Methods:

Sample:
Total residents: 53
Resident sample size: 3
Closed records sample size:

Observations:
Identified resident
Residents
Activities
Resident rooms
Staff to resident interactions
Resident to resident interactions

Interviews:
Identified resident
Nursing staff
Residents
Family members

Record Reviews:
Medical records
Incident investigation

Investigation Summary:

1) The NR1 was not at the ALF during the visit. Interview with the NR2 showed she could remotely recall some of the altercation. No significant signs of sampled resident's health and welfare issues were identified during the visit. The ALF immediately separated the NR1 and NR2 at the time of the altercation. The ALF investigated the altercation, notified the collateral contacts, and reported to the department per guidelines. The ALF failed to report the physical assault to the local police department per the reporting regulation. Deficient practice identified. See Statement of Deficiencies dated 03/24/2025.

Conclusion / Action:

This document was prepared by Residential Care Services for the Locator website.

- [x] Failed Provider Practice Identified / Citation(s) Written
- [ ] Failed Provider Practice Not Identified / No Citation Written
- [ ] N/A

This document was prepared by Residential Care Services for the Locator website.

STATE OF WASHINGTON

DEPARTMENT OF SOCIAL AND HEALTH SERVICES

AGING AND LONG-TERM SUPPORT ADMINISTRATION

20311 52nd Ave W, Suite 100, Lynnwood, WA 98036

You are required to be in compliance at all times with all licensing laws and regulations to maintain your Assisted Living Facility license.

The department completed data collection for an unannounced on-site complaint investigation on 03/13/2025 of:

Aegis Living Ballard
949 NW Market St
Seattle, WA 98107

This document references the following complaint number(s): 169780

The following sample was selected for review during the unannounced on-site visit: 3 of 53 current residents and 0 former residents.

The department staff that investigated the Assisted Living Facility:

Hayley Pinkham, ALF Licensor

From:
DSHS, Aging and Long-Term Support Administration
Residential Care Services, Region 2 , Unit J
20311 52nd Ave W, Suite 100
Lynnwood, WA 98036

This document was prepared by Residential Care Services for the Locator website.

Statement of Deficiencies	License #: 2689	Compliance Determination #56302
Plan of Correction	Aegis Living Ballard	Completion Date
Page 2 of 3	Licensee: Aegis Senior Communities LLC	03/24/2025

As a result of the on-site visit(s), the department found that you are not in compliance with the licensing laws and regulations as stated in the cited deficiencies in the enclosed report.

Jennie Siegen — Residential Care Services

3/25/2025 — Date

I understand that to maintain an Assisted Living Facility license, the facility must be in compliance with all the licensing laws and regulations at all times.

Paul Sproll — Administrator (or Representative)

4/09/2025 — Date

WAC 388-78A-2630 Reporting abuse and neglect.

(1) The assisted living facility must ensure that each staff person:

(b) Makes an immediate report to the appropriate law enforcement agency and the department consistent with chapter 74.34 RCW of all incidents of suspected sexual abuse or physical abuse of a resident.

This requirement was not met as evidenced by:

Based on interview and record review the Assisted Living Facility (ALF) failed to immediately report an incident of physical abuse involving 2 of 2 sampled residents (Resident 1 and 2) to law enforcement. This caused a delay in criminal investigation or interventions and placed the safety of Resident 2 at risk.

Findings included..

Record review of the ALF's "Abuse, Neglect and Exploitation Policy", revised 12/21/2017, showed mandated reporters must report to law enforcement when there was a reason to suspect physical assault has been committed against a resident. The policy showed there was no exception when an injury appears on the back, face or head or if there was an attempt to choke a resident.

Record review showed Washington Administrative Code (WAC) 388-78A-2020 – Definitions - defined "Physical abuse" as the willful action of inflicting bodily injury or physical mistreatment. Physical abuse includes, but is not limited to, striking with or without an object, slapping, pinching, choking, kicking, shoving, or prodding.

This document was prepared by Residential Care Services for the Locator website.

As a result of the on-site visit(s), the department found that you are not in compliance with the licensing laws and regulations as stated in the cited deficiencies in the enclosed report.

Jamie Singer
Residential Care Services

3/25/2025
Date

I understand that to maintain an Assisted Living Facility license, the facility must be in compliance with all the licensing laws and regulations at all times.

Administrator (or Representative)

Date

WAC 388-78A-2630 Reporting abuse and neglect.

(1) The assisted living facility must ensure that each staff person:

(b) Makes an immediate report to the appropriate law enforcement agency and the department consistent with chapter 74.34 RCW of all incidents of suspected sexual abuse or physical abuse of a resident.

This requirement was not met as evidenced by:

Based on interview and record review the Assisted Living Facility (ALF) failed to immediately report an incident of physical abuse involving 2 of 2 sampled residents (Resident 1 and 2) to law enforcement. This caused a delay in criminal investigation or interventions and placed the safety of Resident 2 at risk.

Findings included...

Record review of the ALF's "Abuse, Neglect and Exploitation Policy", revised 12/21/2017, showed mandated reporters must report to law enforcement when there was a reason to suspect physical assault has been committed against a resident. The policy showed there was no exception when an injury appears on the back, face or head or if there was an attempt to choke a resident.

Record review showed Washington Administrative Code (WAC) 388-78A-2020 – Definitions - defined "Physical abuse" as the willful action of inflicting bodily injury or physical mistreatment. Physical abuse includes, but is not limited to, striking with or without an object, slapping, pinching, choking, kicking, shoving, or prodding.

This document was prepared by Residential Care Services for the Locator website.

Statement of Deficiencies	License #: 2669	Compliance Determination #:56302
Plan of Correction	Aegis Living Ballard	Completion Date
Page 3 of 3	Licensee: Aegis Senior Communities LLC	03/24/2025

Record review showed the ALF admitted Resident 1 to the Memory Care Unit on [redacted]/2024 with a primary diagnosis of [redacted].

Record review showed the ALF admitted Resident 2 to the Memory Care Unit on [redacted]/2015 with a primary diagnosis of [redacted].

Record review of an ALF Progress Note (PN), dated 03/04/2025, showed that on 03/03/2025 Resident 1 followed Resident 2 to her apartment, Resident 1 began to argue with Resident 2. The PN showed Resident 1 hit Resident 2 in her mouth and face. The PN showed when Resident 2 backed away from Resident 1, Resident 1 proceeded to choke Resident 2. The PN showed Resident 2 sustained mild facial swelling to the left cheek and a laceration (a wound that is produced by the tearing of soft body tissue) to her lip.

Record review showed no documentation the ALF notified law enforcement as required following the altercation between Resident 1 and Resident 2.

In interview, on 03/24/2025 at 12:02 PM, Staff A (Health Service Director) confirmed she believed the altercation between Resident 1 and Resident 2 was physical assault. Staff A stated the ALF did not notify law enforcement the physical assault occurred.

Plan/Attestation Statement

I hereby certify that I have reviewed this report and have taken or will take active measures to correct this deficiency. By taking this action, Aegis Living Ballard is or will be in compliance with this law and / or regulation on (Date) 4/05/25.

In addition, I will implement a system to monitor and ensure continued compliance with this requirement.

Administrator (or Representative) — Date: 4/05/25

This document was prepared by Residential Care Services for the Locator website.

Record review showed the ALF admitted Resident 1 to the Memory Care Unit on [redacted]/2024 with a primary diagnosis of [redacted].

Record review showed the ALF admitted Resident 2 to the Memory Care Unit on [redacted]/2015 with a primary diagnosis of [redacted].

Record review of an ALF Progress Note (PN), dated 03/04/2025, showed that on 03/03/2025 Resident 1 followed Resident 2 to her apartment, Resident 1 began to argue with Resident 2. The PN showed Resident 1 hit Resident 2 in her mouth and face. The PN showed when Resident 2 backed away from Resident 1, Resident 1 proceeded to choke Resident 2. The PN showed Resident 2 sustained mild facial swelling to the left cheek and a laceration (a wound that is produced by the tearing of soft body tissue) to her lip.

Record review showed no documentation the ALF notified law enforcement as required following the altercation between Resident 1 and Resident 2.

In interview, on 03/24/2025 at 12:02 PM, Staff A (Health Service Director) confirmed she believed the altercation between Resident 1 and Resident 2 was physical assault. Staff A stated the ALF did not notify law enforcement the physical assault occurred.

Plan/Attestation Statement

I hereby certify that I have reviewed this report and have taken or will take active measures to correct this deficiency. By taking this action, Aegis Living Ballard is or will be in compliance with this law and / or regulation on (Date)_____ .

In addition, I will implement a system to monitor and ensure continued compliance with this requirement.

_____ _____

Administrator (or Representative) Date

This document was prepared by Residential Care Services for the Locator website.