| STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION | (X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: | (X2) MULTIPLE CONSTRUCTION | (X3) DATE SURVEY COMPLETED |
|---|---|---|---|
| | 0013813 | A. BUILDING: _____ B. WING _____ | 09/17/2026 |

| NAME OF PROVIDER OR SUPPLIER | STREET ADDRESS, CITY, STATE, ZIP CODE |
|---|---|
| WILLOW VALLEY LLC | 520 W ESTEY ST SHULLSBURG, WI 53586 |

| (X4) ID PREFIX TAG | SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION) | ID PREFIX TAG | PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY) | (X5) COMPLETE DATE |
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| U 000 | INITIAL COMMENTS<br><br>On 09/17/2026, Surveyor conducted an abbreviated licensure survey. Two deficiencies were identified.<br><br>Census: 16 | U 000 | | |
| U 118 | 89.23(2)(a)2.c SERVICES<br><br>SUFFICIENT SERVICES.<br><br>Minimum required services.<br><br>A residential care apartment complex shall have the capacity to provide all of the following services to all tenants, either directly or under contract:<br><br>c. Nursing services: health monitoring, medication administration and medication management.<br><br>This Rule is not met as evidenced by:<br>Based on observation, record review and interview, the provider did not ensure medication administration and medication management were provided in a safe manner consistent with standards of practice for 7 of 10 tenants.<br><br>Tenant 2's expired Topiramate (seizure medication) was stored with his/her current scheduled medication.<br>Tenant 3's expired Ondansetron (medication for | U 118 | | |

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE | TITLE | (X6) DATE

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| U 118 | Continued From page 1 | U 118 | | |

nausea) was stored with his/her current scheduled medication.
Tenant 4's expired Nitroglycerin (medication for chest pain) was stored with his/her current scheduled medication.
Tenant 5's expired Gentamicin (ear drops) were stored with his/her current scheduled medication.
Tenant 6's expired Loperamide (medication for diarrhea) was stored with his/her current scheduled medication.
Tenant 7's expired Clearcanal (ear wax softener) was stored with his/her current scheduled medication.
Tenant 8's prescribed Fluticasone was documented as administered when the medication had not been administered.

Findings include:

Example 1: Tenant 2

On 09/17/2026, at approximately 11:50 AM, Surveyor and Administrator A observed Tenant 2's bottle of 'as-needed (PRN)' Topiramate, with a discard date of 05/30/2026, stored with his/her scheduled medications in the med cart. Administrator A stated s/he would implement a med cart audit to ensure expired medications were removed.

Example 2: Tenant 3

On 09/17/2026, at approximately 11:50 AM, Surveyor and Administrator A observed Tenant 3's box of PRN Ondansetron, with an expiration date of 01/31/2026, stored with his/her scheduled medications in the med cart. Administrator A stated s/he would implement a med cart audit to ensure expired medications were removed.

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| U 118 | Continued From page 2<br>Example 3: Tenant 4<br><br>On 09/17/2026, at approximately 11:50 AM, Surveyor and Administrator A observed Tenant 4's bottle of PRN Nitroglycerin, with an expiration date of 07/19/2025, stored with his/her scheduled medications in the med cart. Administrator A stated s/he would implement a med cart audit to ensure expired medications were removed.<br><br>Example 4: Tenant 5<br><br>On 09/17/2026, at approximately 11:50 AM, Surveyor and Administrator A observed Tenant 5's bottle of Gentamicin, with a start date of 06/03/2025, stored with his/her scheduled medications in the med cart. The label stated, "Instill 3 drops into 'Left Ear' 2 times a day every morning and every night at bedtime for 7 days. Administrator A stated s/he would implement a med cart audit to ensure expired medications were removed.<br><br>Example 5: Tenant 6<br><br>On 09/17/2026, at approximately 11:50 AM, Surveyor and Administrator A observed Tenant 6's blister tabs of Loperamide, with an expiration date of 11/22/2025, stored with his/her scheduled medications in the med cart. Administrator A stated s/he would implement a med cart audit to ensure expired medications were removed.<br><br>Example 6: Tenant 7<br><br>On 09/17/2026, at approximately 11:50 AM, Surveyor and Administrator A observed Tenant 7's bottle of Clearcanal, with an expiration date of 01/28/2025, stored with his/her scheduled medications in the med cart. Administrator A | U 118 | | |

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| U 118 | Continued From page 3<br>stated s/he would implement a med cart audit to ensure expired medications were removed.<br><br>Example 7: Tenant 8<br><br>On 09/17/2026, at approximately 11:50 AM, Surveyor and Administrator A observed Tenant 8's bottle of Fluticasone, with a dispensed date of 03/13/2026, which appeared full. Administrator A stated Tenant 8 self-administered his/her Fluticasone. Surveyor requested Tenant 8's record.<br><br>Tenant 8's Medication Administration Record (MAR), dated 09/01/2026 to 09/16/2026, documented:<br>"2 sprays in each nostril once daily for nasal congestion."<br>The MAR documented staff administration 8 of 16 opportunities and self-administration 8 of 16 opportunities.<br><br>Administrator A stated the bottle in the med cart had not been utilized and would follow up with staff to determine if Tenant 8 is self-administering his/her Fluticasone and when staff should document that they administered a medication. | U 118 | | |
| U 189 | 89.28(1) Risk Agreement<br><br>(1) REQUIREMENT<br><br>As a protection for both the individual tenant and the residential care apartment complex, a residential care apartment complex shall enter into a signed, jointly negotiated risk agreement with each tenant by the date of occupancy. | U 189 | | |

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| U 189 | Continued From page 4<br><br>This Rule is not met as evidenced by:<br>Based on observation, record review and interview, the provider did not ensure 2 of 2 Tenants jointly entered into a negotiated risk agreement.<br><br>Tenant 1 and Tenant 2 self-administered medications and a risk agreement had not been negotiated.<br><br>Findings include:<br><br>Example 1: Tenant 1<br><br>On 09/17/2026, at approximately 11:45 AM, Surveyor and Administrator A observed Tenant 1's bottle of Fluticasone (nasal spray), with a dispensed date of 09/16/2025, and a bottle of Ipratropium Bromide (nasal spray), with a dispensed date of 09/16/2025, in the med cart. Administrator A stated that Tenant 1 self-administered his/her Fluticasone and Ipratropium Bromide and the current bottles were probably in his/her room. Administrator A went to Tenant 1's room and when s/he returned, s/he stated Tenant 1 did not know where the bottles of Fluticasone and Ipratropium Bromide were and stated, "I don't know, maybe I threw them away." Surveyor requested Tenant 1's record.<br><br>Tenant 1 was admitted to the complex on 08/01/2025.<br><br>Tenant 1's September 2026 Medication Administration Record documented that Tenant 1 had self-administered his/her Fluticasone and Ipratropium Bromide.<br><br>Tenant 1's record did not contain a risk | U 189 | | |

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| U 189 | Continued From page 5<br>agreement regarding the self-administration of medications.<br><br>On 09/17/2026, at approximately 12:00 PM, Surveyor interviewed Administrator A and requested Tenant 1's risk agreements. Administrator A stated s/he did not have a risk agreement regarding Tenant 1's self-administration of medication as s/he did not realize it was a requirement. Administrator A stated Tenant 1 would be assessed to determine if s/he could safely self-administer his/her medications and negotiate a risk agreement. | U 189 | | |